



DOCKET NO. 1186.1019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Susumu TAKAHASHI, et al.

Serial No: 09/942,731

Group Art Unit: Unassigned

Confirmation No. 8415

Filed: August 31, 2001

Examiner: Unassigned

For: OPTICAL FILM USING DIFFRACTION GRATING AND DISPLAY DEVICE USING THE  
SAME

LETTER TO THE EXAMINER SUBMITTING  
CORRECTED AND/OR FORMAL DRAWINGS

Assistant Commissioner for Patents  
Washington, D.C. 20231

ATTENTION: OFFICIAL DRAFTSPERSON

Sir:

Applicants herewith submit 9 sheets of formal drawings for filing in the subject application.

It is respectfully requested that the formal drawings filed herewith be entered in the above-referenced application.

Respectfully submitted,

STAAS & HALSEY LLP

By:

James D. Halsey, Jr.  
Registration No. 22,729

Date: 12/4/01

700 Eleventh Street, N.W., Suite 500  
Washington, D.C. 20001  
Telephone: (202) 434-1500  
Facsimile: (202) 434-1501



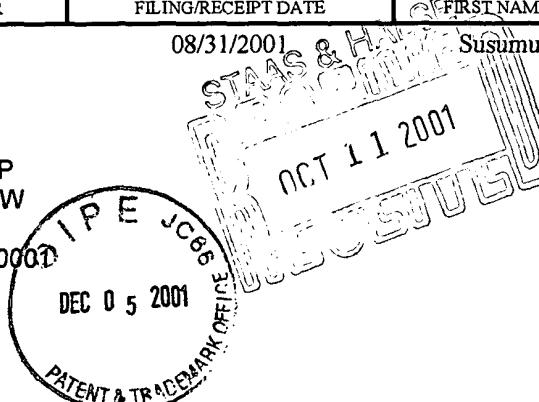
UNITED STATES PATENT AND TRADEMARK OFFICE

Drawing Due : 12/9/01  
Missing Parts Due: 12/9/01

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/942,731	08/31/2001	Susumu Takahashi	1186.1019

21171  
STAAS & HALSEY LLP  
700 11TH STREET, NW  
SUITE 500  
WASHINGTON, DC 20001



CONFIRMATION NO. 8415

FORMALITIES LETTER



\*OC000000006869407\*

Date Mailed: 10/09/2001

12/19/2001 SSALEEKU 00000006 193935 09942731 NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01 FC:102 240.00 CH  
02 FC:103 1350.00 CH  
03 FC:104 270.00 CH  
04 FC:139 -130.00 CH

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 130.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

12/05/2001 SDEMBUB1 00000015 09942731

01 FC:105

130.00 CH

130.00 CH

Adjustment date: 12/03/2001 EERUBAY1  
12/19/2001 SSALEEKU 00000006 193935

*A copy of this notice **MUST** be returned with the reply.*

04 FC:139 130.00 CH

Adjustment date: 12/03/2002 EERUBAY1  
12/06/2002 SDEMBUB1 00000015 09942731

01 FC:105

-130.00 CH

12/03/2002 EERUBAY1 00000015 09942731  
01 FC:105

*A.T*

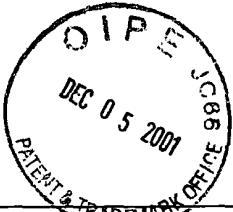
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100

*me**SE-001*

<b>RESPONSE TO NOTICE TO FILE</b> <b>MISSING PARTS</b> 		Attorney Docket No.	1186.1019
		Application Number	09/942,731 ✓
		Filing Date	August 31, 2001
		First Named Inventor	Susumu TAKAHASHI, et al.
		Group Art Unit	Unassigned
Title:	OPTICAL FILM USING DIFFRACTION GRATING AND DISPLAY DEVICE USING THE SAME		
Pursuant to 37 C.F.R. § 1.53(f) and in response to the U.S. Patent and Trademark Office Notice to File Missing Parts of Nonprovisional Application mailed <u>October 9, 2001</u> for the above-identified application, enclosed are the following:			
<input checked="" type="checkbox"/> RETURN COPY of Notice to File Missing Parts of Nonprovisional Application <input type="checkbox"/> Executed Combined Declaration/Power of Attorney executed by the inventor for completing the missing parts of the subject application. The undersigned registered attorney states that the subject application is the application which the inventor executed by signing the attached Declaration. <input checked="" type="checkbox"/> Formal Drawings (9 Sheets; Figs 1-24) <input checked="" type="checkbox"/> English-language translation of application (with Translator's Statement (pages __)) <input type="checkbox"/> Verified Statement Claiming Small Entity Status			
Enclosed is a payment of the following:			
<input type="checkbox"/> The filing fee as set forth in 37 C.F.R. § 1.16(a) \$ <input type="checkbox"/> The additional claim(s) fee ( claims over 20) \$ <input type="checkbox"/> The additional independent claim(s) fee ( claims over 3) \$ <input type="checkbox"/> Multiple dependent claims \$ <input type="checkbox"/> Petition for Extension of Time ( __-month) \$ <input type="checkbox"/> English language translation fee \$ <input checked="" type="checkbox"/> Surcharge as set forth in 37 C.F.R. § 1.16(e) \$130.00			
<b>SUBTOTAL FEES:</b> \$130.00			
<input type="checkbox"/> Reduction by 50% for filing by small entity (37 CFR 1.27) .00			
<b>SUBTOTAL FEES:</b> \$130.00			
<b>TOTAL FEES DUE:</b> \$130.00			

Since the Notice to File Missing Parts of Nonprovisional Application set an original due date of \_\_\_, petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110)); (2 months (\$400)); (3 months (\$920)); (4 months (\$1,440)); (5 months (\$1,960));

#### METHOD OF PAYMENT

Check enclosed as payment.  
 Charge "TOTAL FEES DUE" to the Deposit Account No. 19-3935, below.

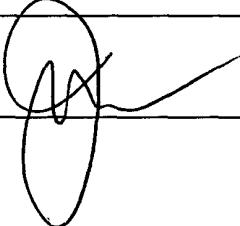
#### AUTHORIZATION

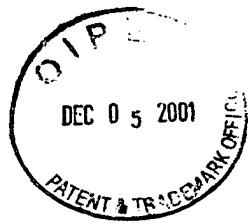
If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 or 1.17 necessary to maintain pendency of the present application to:

Deposit Account No.: 19-3935

Deposit Account Name: STAAS & HALSEY LLP

#### SUBMITTED BY: STAAS & HALSEY LLP

Typed Name	James D. Halsey, Jr.	Reg. No.	22,729
Signature		Date	December 4, 2001



## TRANSLATION

I, Kenji Kobayashi, residing at 2-46-10 Goko-Nishi, Matsudo-shi, Chiba-ken, Japan, state:

that I know well both the Japanese and English languages;

that I translated, from Japanese into English, the specification, claims, abstract and drawings as filed in U.S. Patent Application No. 09/942,731, filed August 31, 2001; and

that the attached English translation is a true and accurate translation to the best of my knowledge and belief.

Dated: November 29, 2001

A handwritten signature in black ink, appearing to read "Kenji Kobayashi".

Kenji Kobayashi